



BRAINWARE UNIVERSITY

Term End Examination 2024-2025

Programme – BBA-2022

Course Name – Financial Services

Course Code - BBAD501F

(Semester V)

Library
Brainware University
398, Ramkrishnapur Road, Barasat
Kolkata, West Bengal-700125

Full Marks : 60

Time : 2:30 Hours

[The figure in the margin indicates full marks. Candidates are required to give their answers in their own words as far as practicable.]

Group-A

(Multiple Choice Type Question)

1 x 15=15

1. Choose the correct alternative from the following :

(i) The process of selling trade debts of a client to a financial intermediary is called _____.
Choose the correct answer.

- a) Bill discounting
- c) Secularization

- b) Factoring
- d) Materialization

(ii) Venture capital is originated in _____. Identify the correct answer.

- a) India
- c) Britain

- b) France
- d) USA

(iii) Trade debts have to be assigned in favour of the financing company under _____. Identify the correct option.

- a) discounting
- c) factoring

- b) forfaiting
- d) All of these

(iv) _____ is pooling of risks. Identify the correct answer.

- a) Mutual fund
- c) Credit rating

- b) Insurance
- d) Guarantee

(v) Identify In simple terms, the management of issues for raising funds through various types of instruments by companies is known as:

- a) Lead management
- c) Issue management

- b) Merchant banking
- d) Public issue management

(vi) In India, banks cannot do hire purchase business without the permission of. Choose the correct answer.

- a) SEBI
- c) State govt.

- b) Central Govt.
- d) RBI

- (vii) CRISIL has been promoted by ____ and _____. Apply the correct answer.
a) ICICI, UTI
b) IDBI, SBI
c) AXIS, UTI
d) PNB, SB
- (viii) LIC Housing Finance Ltd was established in the year. Choose the correct answer.
a) 1982
b) 1989
c) 1972
d) 1964
- (ix) Lead merchant banker should advise the issuer to enter into _____ with a particular intermediary for the purpose of issue management. Identify the correct answer.
a) Memorandum of understanding
b) R O C
c) Agreement Agreement
d) Arrangement
- (x) _____ act as an intermediary to link up the sources of ideas and the sources of fund. Select the correct answer.
a) Venture capital
b) Merchant banking
c) Leasing
d) None of these
- (xi) No security is insisted upon _____ loan. Identify the correct answer.
a) Housing
b) Education
c) Vehicle
d) Personal
- (xii) _____ fund invests in highly liquid securities like commercial paper. Select the correct answer.
a) Equity fund
b) Balanced fund
c) Income fund
d) Money market mutual fund
- (xiii) State the bank that has been nationalized and renamed as State Bank of India.
a) RBI
b) UTI
c) Bank of Bengal
d) Imperial bank of India
- (xiv) State the name of the prospectus related to IPO.
a) Pink Herring Prospectus.
b) Red Herring Prospectus.
c) Blue Herring Prospectus.
d) Crimson Herring Prospectus.
- (xv) Describe a tick size.
a) Minimum number of shares needed to be purchased by a shareholder
b) Minimum number of shareholders needed in an IPO.
c) Numbers of shares taken by the underwriters.
d) Increment of share price in a price band

Group-B

(Short Answer Type Questions)

3 x 5=15

2. State the advantages of lease financing for businesses. (3)
3. Identify what types of companies are most attractive to venture capitalists. (3)
4. Summarize the meaning of credit rating. (3)
5. Describe the institutional structure of investment banking in India. (3)
6. Explain the seed-funding financing stage. (3)

OR

Analyze the functions of an angel investor. (3)

Group-C

(Long Answer Type Questions)

5 x 6=30

7. Explain securitization and how will investors and lenders profit from it. (5)

8. Explain the salient features of NBFCs. (5)
9. Explain the meaning and arrangement of factoring. (5)
10. Examine the practical problems of housing finance in the context of India. (5)
11. Write about the concepts of operating and financial lease with example. (5)
12. Assess the regulatory functions of IRDA. (5)

OR

Summarize a note on Treasury Bills. (5)
